

Transmittal Form w/o Declaration

Assistant Commissioner for Patents

UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MAM-007 **Total Pages** First named Inventor or Application Identifier Hiromasa YAGI Title of Invention METHOD FOR FABRICATING ELECTROD

ADDRESS TO:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Washington, DC 20231
- 1.

 Fee Transmittal Form
- 2.

 Specification [Total Pages 26]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3.

 Formal Drawing(s) (35 USC 113) [Total Sheets 5]
- 4. □ Oath or Declaration [Total pages *]
 - a. □ Newly executed (original or copy)
 - b.

 Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. □ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

Box Patent Application

FOR LITHIUM SECONDARY BATTERY

- a.

 Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c.

 Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☐ Assignment papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement (When there is an assignee)
- □ Power of Attorney 10. ☐ English Translation Document (if applicable)
- 11.

 Information Disclosure Statement (IDS) /PTO-1449
 - ☐ Copies of IDS Citations (* docs)
- 12. ☐ Preliminary Amendment with Version with Markings to Show Changes Made
- 13.

 Return Receipt Postcard (MPEP 503)
- 14.

 Assertion to Entitlement to Small Entity Status ☐ Assertion filed in prior application, status still proper and desired
- 15.

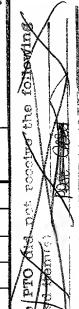
 ☐ Certified Copy of Priority Documents ☑ Priority of application Nos. 2000-366876 and 2001-008692, filed on December 1, 2000, and January 17, 2001, respectively, in Japan is claimed under 35 USC 119.
 - ☐ The certified copy has been filed in prior application Serial No. 08/*.
- 16. ☐ Other: *

OTHER

17. ☐ Priority of * Patent Application No. * filed * is claimed under 35 USC 119.

7. If a CONTINUING	APPLICATION, che	ck appropriate box and supply the requisite information
□ Continuation	□ Divisional	☐ Continuation-in part (CIP)
		of prior application No.:

18. CORRESPONDENCE ADDRESS ☑ Customer Number or Bar Code Label 020374 or Correspondence address below NAME KUBOVCIK & KUBOVCIK **ADDRESS** 900 17th Street, N.W. CITY STATE Washington DC ZIP CODE 20006 TEL FILING DATE November 29, 2001 202-887-9023 FAX 202-887-9093



FEE TRANSMITTAL

Note: Effective October 1, 2001

Application Number	09/		
Filing Date	November 29, 2001		
First Named Inventor	Hiromasa YAGI		
Group Art Unit	Not Assigned		
Examiner Name	Not Assigned		
Attorney Docket Number	MAM-007		

CLAIMS AS FILED-PART 1			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))				\$370.00		\$740.00
TOTAL CLAIMS (37 CFR 1.16 (c))	<u>13</u> - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	<u>2</u> - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d))			\$140.00		\$280.00	
			SUB TOTAL		SUB TOTAL	\$740.00
SURCHARGE-LATE FILING FEE OR DECLARATION			\$65.00		\$130.00	
RECORDING ASSIGNMENT			\$40.00		\$40.00	
TOTAL				\$0.00		\$740.00

METHOD OF PAYMENT

Payment Deferred

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DATE	November 29, 2001	FAX	202-887-9093			

KTK/emd